



Learning from Visual Observation via Offline Pretrained State-to-Go Transformer

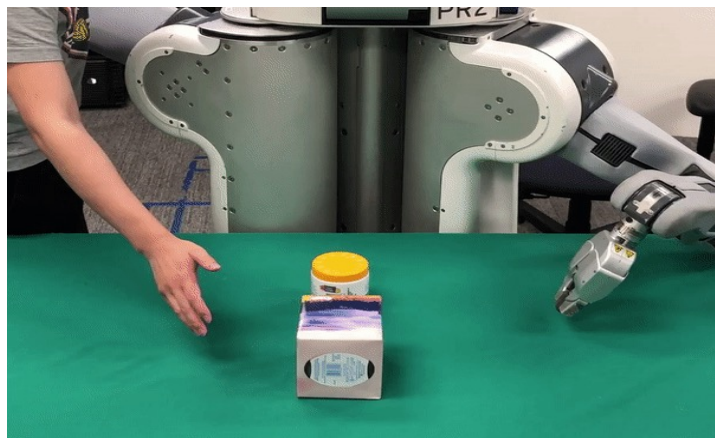
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24/10/2023

Motivation

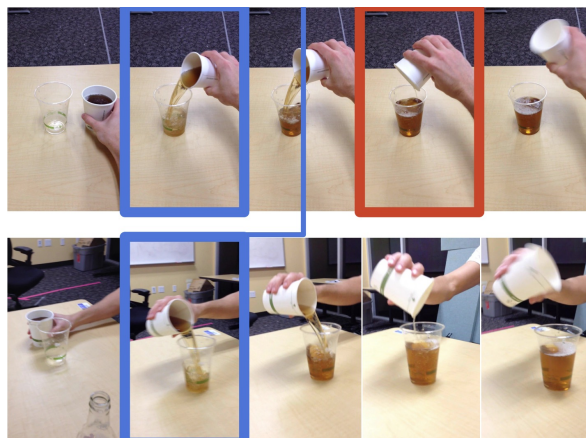


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Learning from Demonstrations
(LfD)

- + Easy to learn
- Hard & expensive annotations
- Human-level upper bound



Learning from Visual Observations
(LfVO)

- + No actions or rewards
- + Intuitive like humans
- + An ocean of Internet videos
- + Explore unknown expert policy
- Hard to extract useful experience



From LfD to LfVO

- ✓ Less Supervision
- ✓ Enlarging resource
- ✓ Biologically reasonable

Previous work



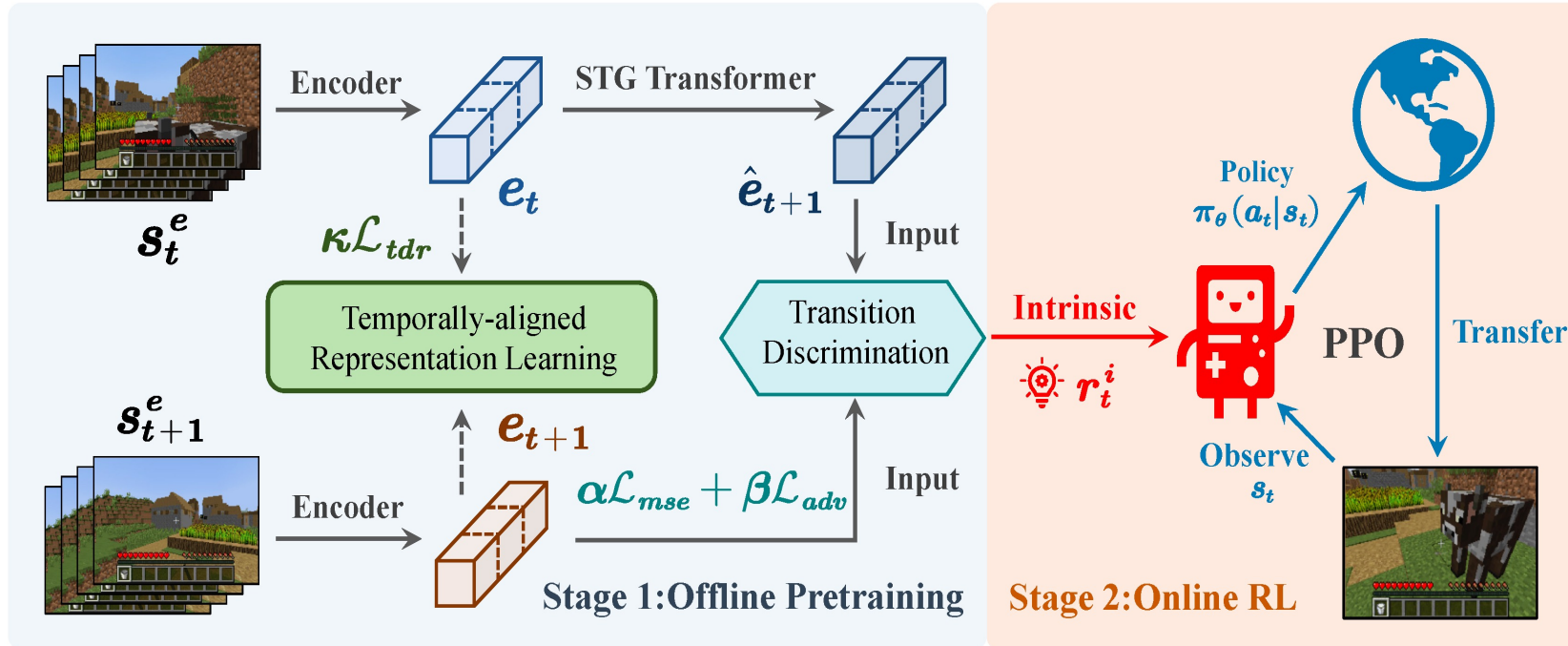
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- **IDM-based methods – extra component, compounding error**
- **Adversarial methods – sample-inefficient online learning schemes**
- **Representation-learning-based methods – over-optimistic estimation**
- **Goal-oriented methods – extra task-specific information**

Abundant **video-only** data contain useful behavior patterns. How can we leverage them to effectively and efficiently tackle downstream **reward-free** visual control tasks?

Starting Point!

Two-stage framework

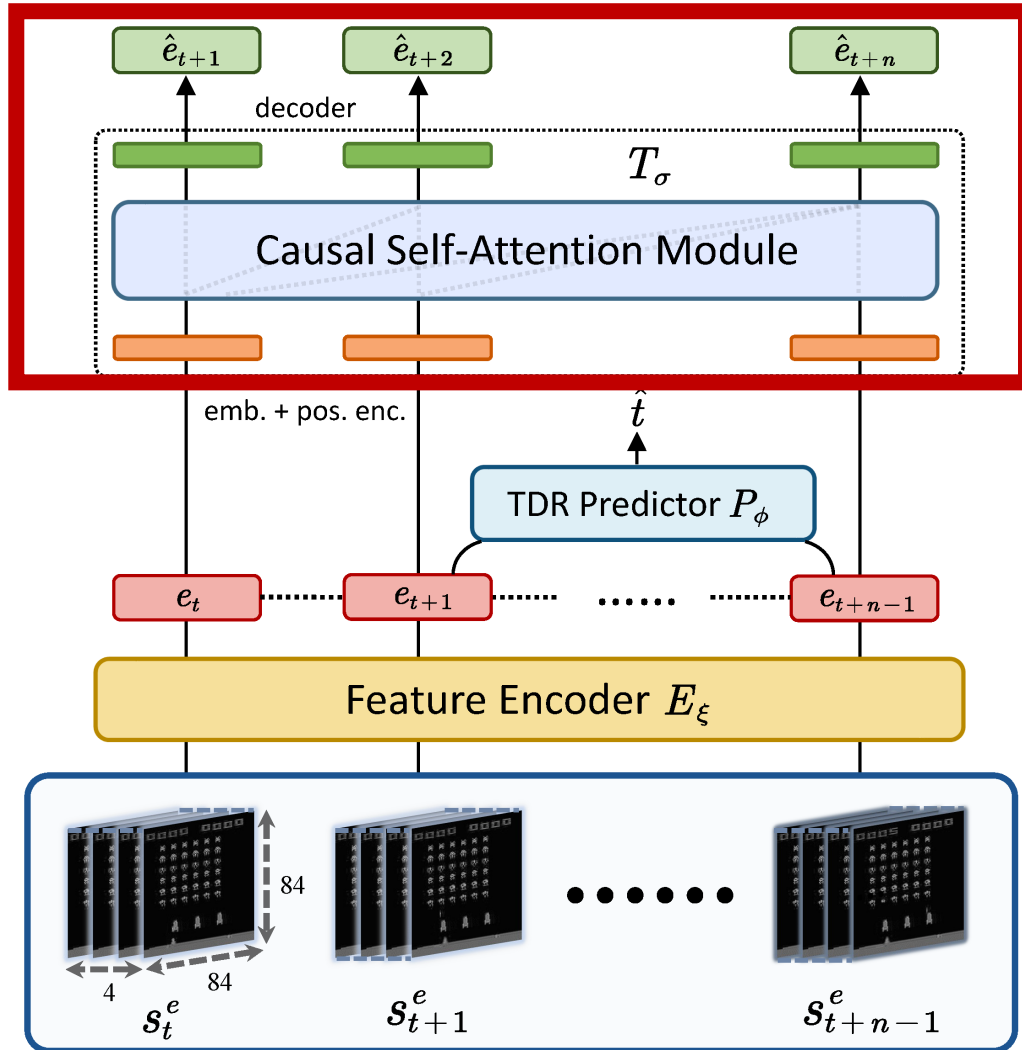


- **Pretraining stage:** we simultaneously learn a **GPT** for latent transition prediction, an expert transition **discriminator** for intrinsic rewards and a temporal distance regressor (**TDR**) for temporally-aligned representations.
- **Reinforcement learning stage:** agents **merely** learn from generated rewards from discriminator without environmental reward signals.

Offline Pretraining



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1. Predicting Latent Transition

Adversarially learn transition module with L2 regularization as well as a WGAN discriminator

$$e_t = E_\xi(s_t), \quad \hat{e}_{t+1} = T_\sigma(e_t)$$

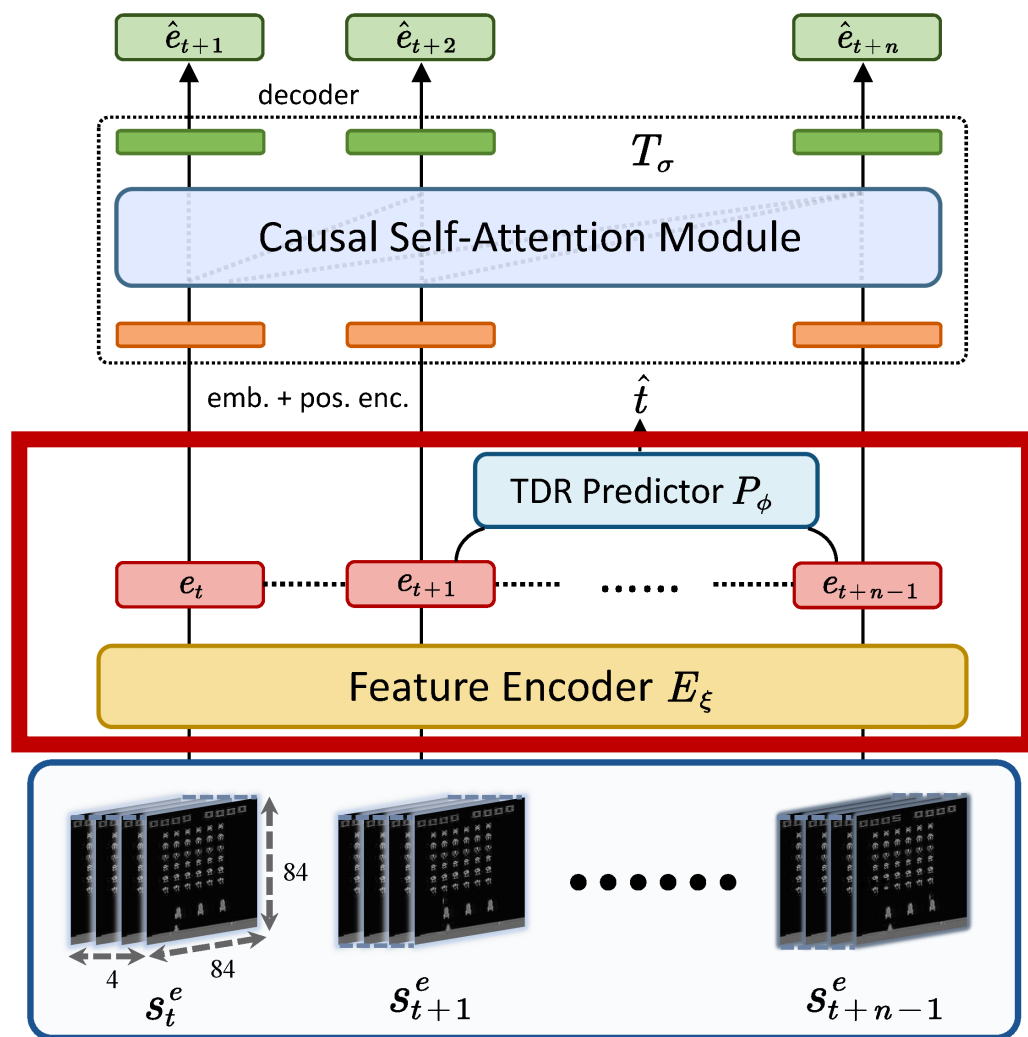
$$\text{for } D_\omega: \min_{w \in \mathcal{W}} \mathbb{E}_{\mathcal{D}^e} [D_\omega(e_t, \hat{e}_{t+1}) - D_\omega(e_t, e_{t+1})]$$

$$\text{for } T_\sigma: \min_{\xi, \sigma} \mathbb{E}_{\mathcal{D}^e} [-D_\omega(e_t, \hat{e}_{t+1}) + \|\hat{e}_{t+1} - e_{t+1}\|_2^2]$$

Offline Pretraining



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2. Learning Temporally-Aligned Representation

Apply symlog temporal distance prior in low-dimensional representation space

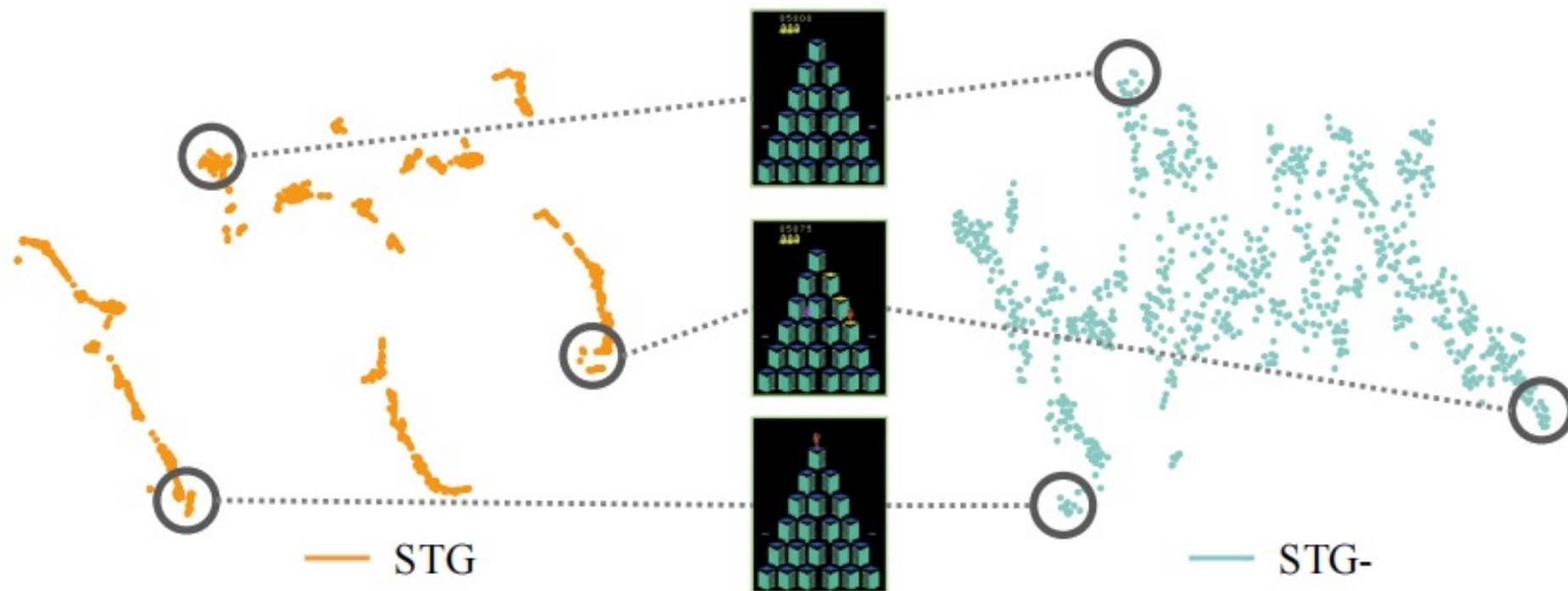
$$\min_{\xi, \phi} \mathbb{E}_{\mathcal{D}^e} \| P_\phi(e_t, e_{t+j}) - \text{sign}(j) \ln(1 + |j|) \|$$



TDR Representation



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Sampled Atari Qbert Trajectory

Algo: STG Pretraining



Algorithm 1 STG Transformer Offline Pretraining

Input: STG Transformer T_σ , feature encoder E_ξ , discriminator D_ω , expert dataset $D^e = \{\tau^1, \tau^2, \dots, \tau^m\}$, $\tau^i = \{s_1^i, s_2^i, \dots\}$, buffer \mathcal{B} , loss weights α, β, κ .

- 1: Initialize parametric network $E_\xi, T_\sigma, D_\omega$ randomly.
- 2: **for** $e \leftarrow 0, 1, 2 \dots$ **do** ▷ epoch
- 3: Empty buffer \mathcal{B} .
- 4: **for** $b \leftarrow 0, 1, 2 \dots |\mathcal{B}|$ **do** ▷ batchsize
- 5: Stochastically sample state sequence τ^i from D^e .
- 6: Stochastically sample timestep t and n adjacent states $\{s_t^i, \dots, s_{t+n-1}^i\}$ from τ^i .
- 7: Store $\{s_t^i, \dots, s_{t+n-1}^i\}$ in \mathcal{B} .
- 8: **end for**
- 9: Update D_ω : $\omega \leftarrow \text{clip}(\omega - \epsilon \nabla_\omega \mathcal{L}_{dis}, -0.01, 0.01)$.
- 10: Update E_ξ and T_σ concurrently by minimizing total loss $\alpha \mathcal{L}_{mse} + \beta \mathcal{L}_{adv} + \kappa \mathcal{L}_{tdr}$.
- 11: **end for**

Algo: Online RL



Pretrained WGAN discriminator works as reward function:

$$r_t^i = - \left[D_\omega(E_\xi(s_t), T_\sigma(E_\xi(s_t))) - D_\omega(E_\xi(s_t), E_\xi(s_{t+1})) \right]$$

Algorithm 2 Online Reinforcement Learning with Intrinsic Rewards

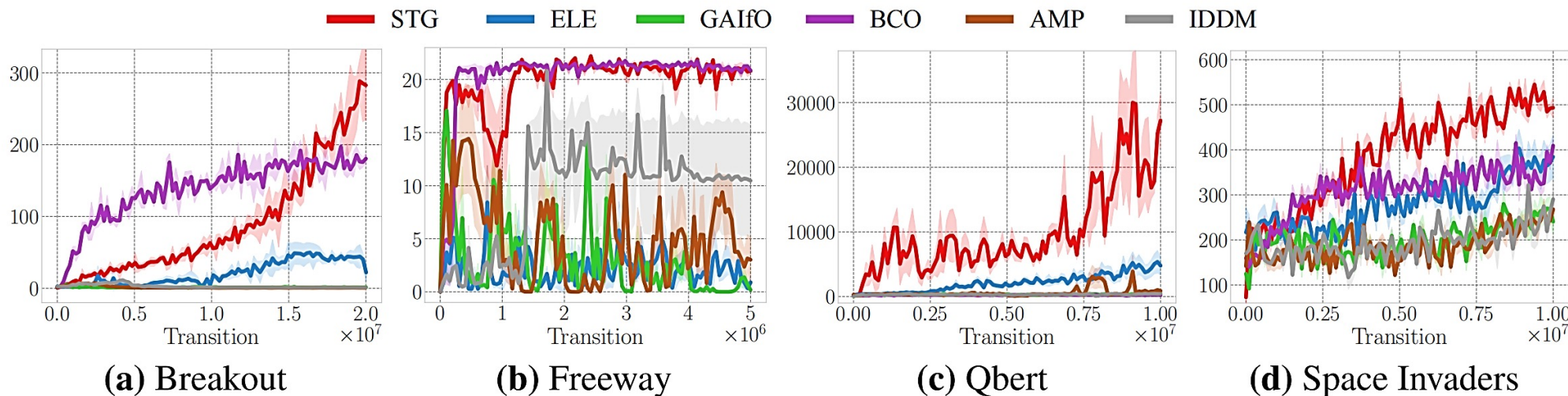
Input: pretrained $E_\xi, T_\sigma, D_\omega$, policy π_θ , MDP \mathcal{M} , intrinsic coefficient η .

- 1: Initialize parametric policy π_θ with random θ randomly and reset \mathcal{M} .
 - 2: **while** updating π_θ **do** ▷ policy improvement
 - 3: Execute π_θ and store the resulting n state transitions $\{(s, s')\}_t^{t+n}$.
 - 4: Use E_ξ to obtain n real latent transitions $\{(e, e')\}_t^{t+n}$.
 - 5: Use T_σ to obtain n predicted latent transitions $\{(e, \hat{e}')\}_t^{t+n}$.
 - 6: Use D_ω to calculate intrinsic rewards: $\Delta_t^{t+n} = \{D_\omega(e, \hat{e}')\}_t^{t+n} - \{D_\omega(e, e')\}_t^{t+n}$.
 - 7: Perform PPO update to improve π_θ with respect to $r^i = -\eta\Delta$.
 - 8: **end while**
-

Atari Experiments



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| Environment | GAIfo | AMP | IDDM | ELE | BCO | STG | Expert | PPO |
|----------------|-------|-------|-------|--------|-------|----------------|---------|---------|
| Breakout | 1.5 | 0.6 | 1.2 | 22.0 | 180.4 | 288.8 | 212.5 | 274.8 |
| Freeway | 0.6 | 3.0 | 10.5 | 2.7 | 21.6 | 21.8 | 31.9 | 32.5 |
| Qbert | 394.4 | 874.9 | 423.3 | 4698.6 | 234.1 | 27234.1 | 15620.7 | 14293.3 |
| Space Invaders | 260.2 | 268.1 | 290.4 | 384.6 | 402.2 | 502.1 | 1093.9 | 942.5 |

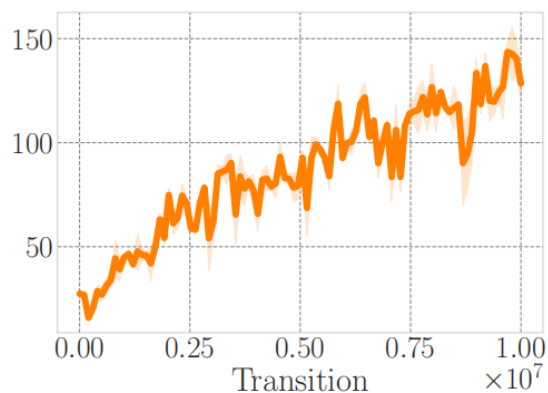
Learning from **50** trajectories for each task, STG demonstrates **superiority among baselines** and even **surpass expert level**.

Atari Visualization

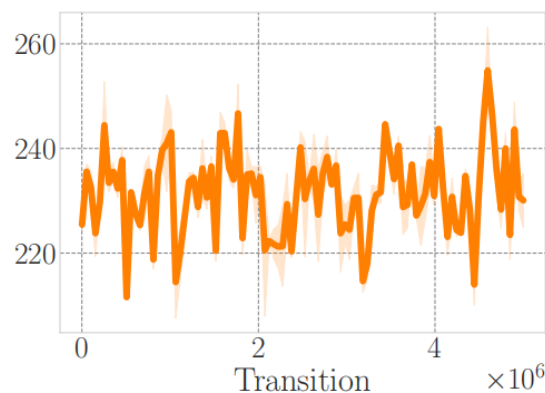


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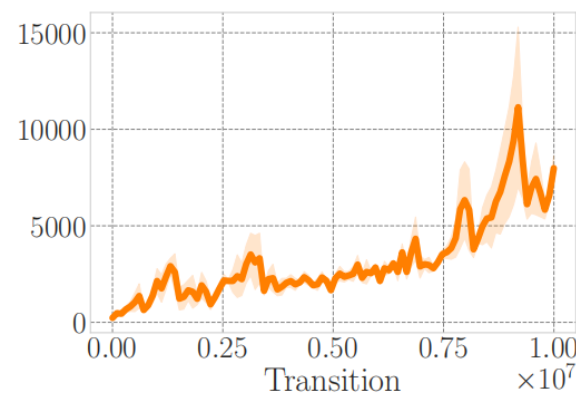
$$\mathbb{E}_{(s,s') \sim \tau^i} D_f [\mu^\pi(s, s') \parallel \mu^e(s, s')]$$



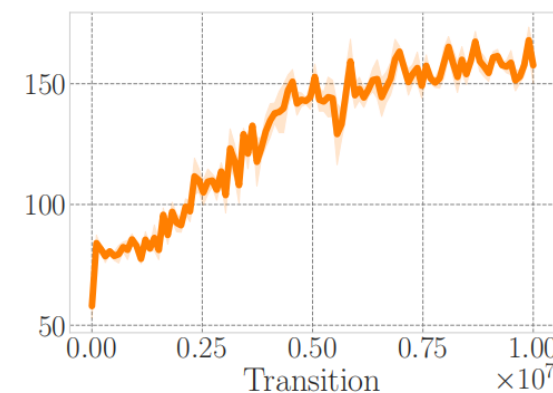
(a) Breakout



(b) Freeway



(c) Qbert



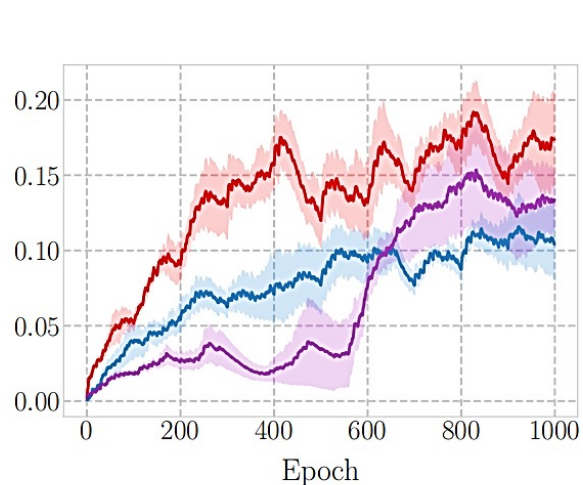
(d) Space Invaders

The rising trend of **intrinsic return** proves that online collected observation distribution is getting **closer** to expert observation distribution during training.

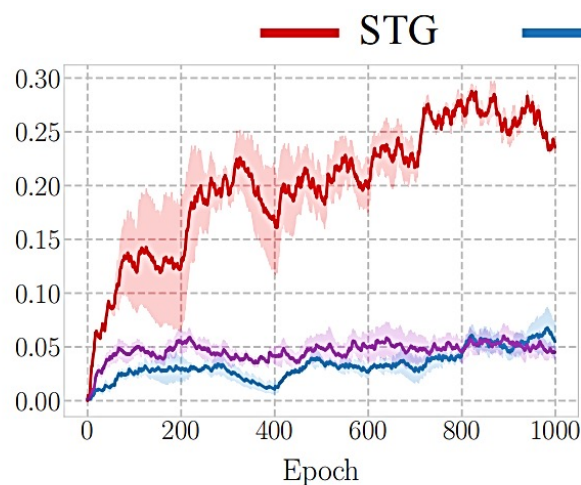
Minecraft Experiments



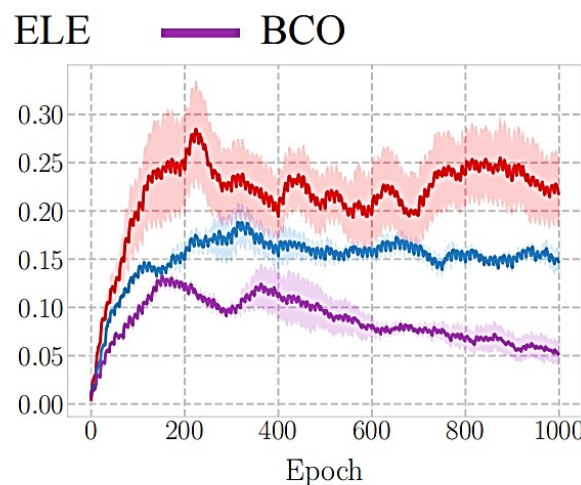
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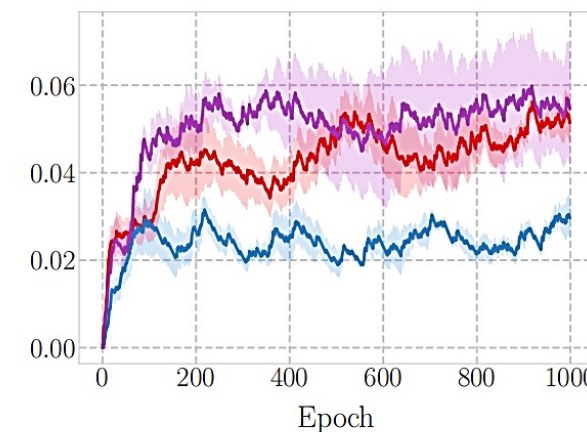
(a) Pick a flower



(b) Milk a cow



(c) Harvest tallgrass



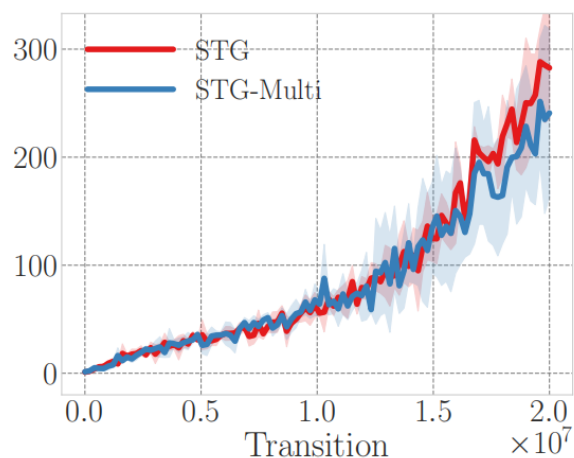
(d) Gather wool

In challenging **open-ended** Minecraft tasks,
STG shows superiority over baselines!

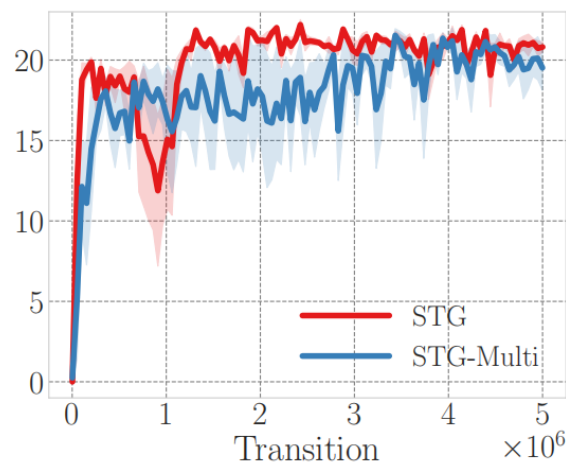
Multi-Task STG



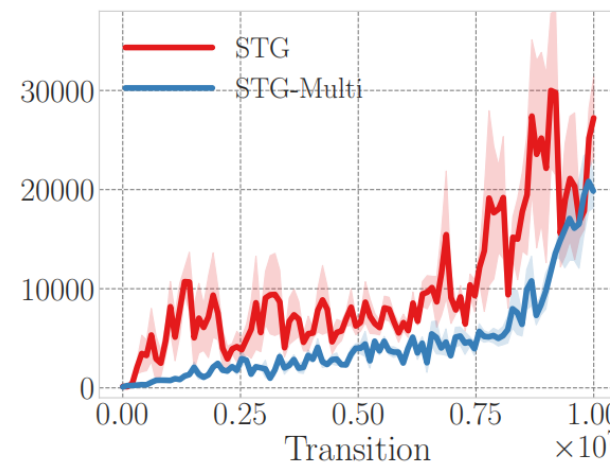
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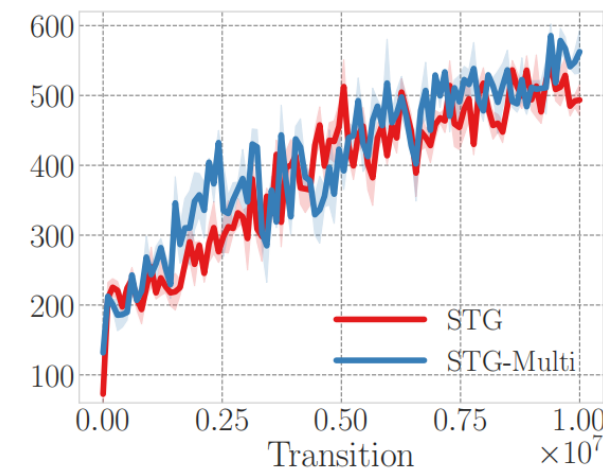
(a) Breakout



(b) Freeway



(c) Qbert



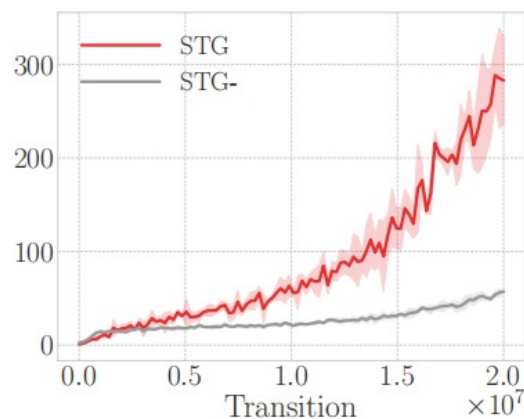
(d) Space Invaders

Pretrained on whole Atari datasets, STG-Multi shows comparable performance.

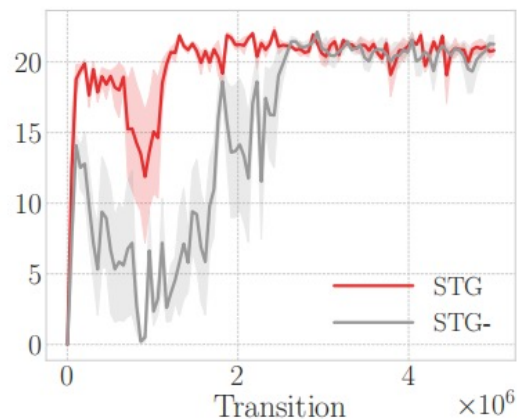
Ablation: TDR removal



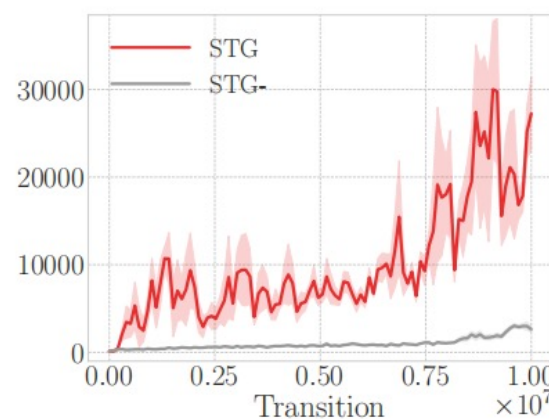
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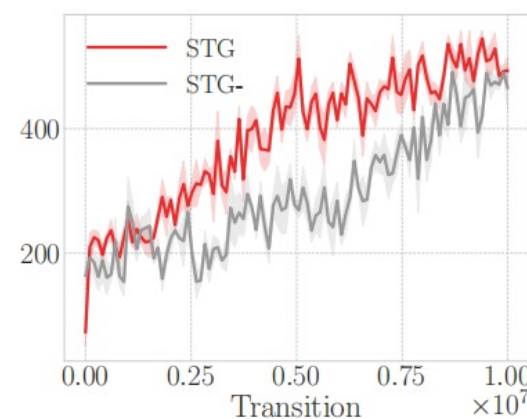
(a) Breakout



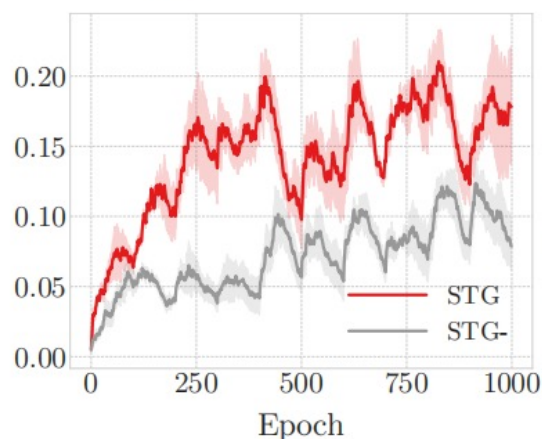
(b) Freeway



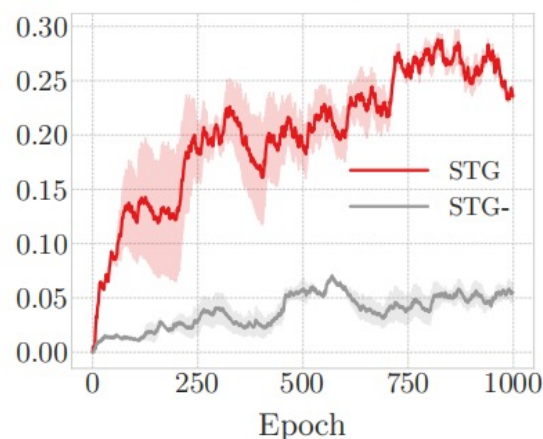
(c) Qbert



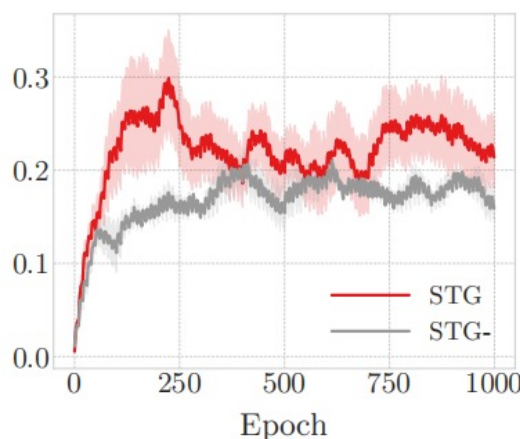
(d) Space Invaders



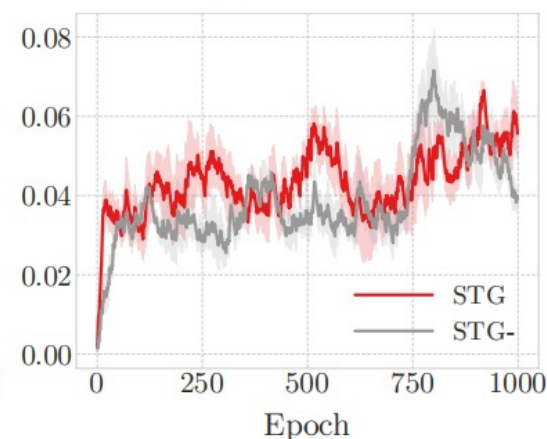
(e) Pick a flower



(f) Milk a cow



(g) Harvest tallgrass



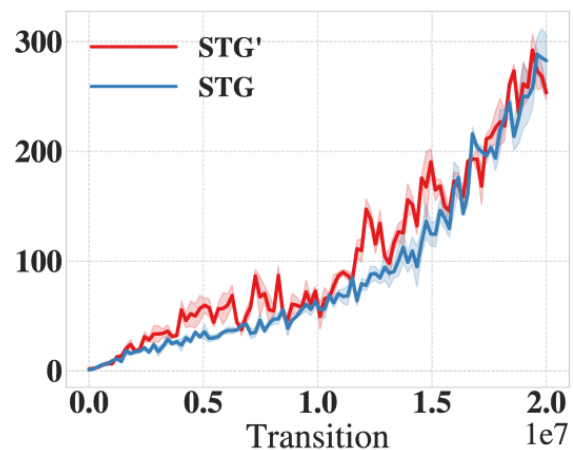
(h) Gather wool

Ablation: Reward design

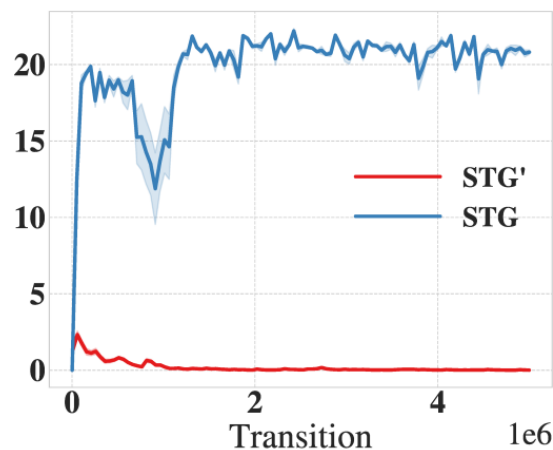


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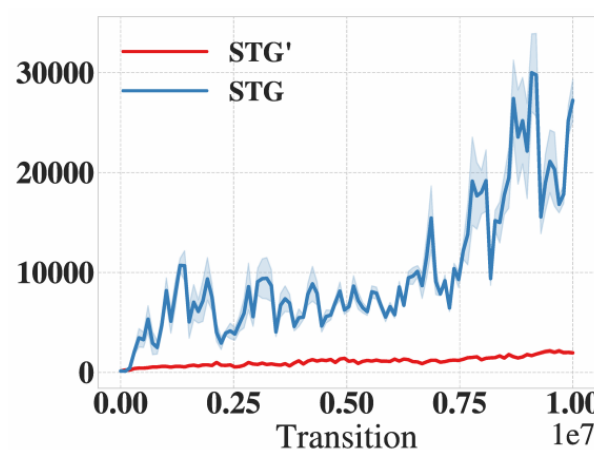
$$r_t^i = D_\omega(E_\xi(s_t), E_\xi(s_{t+1})) - D_\omega(E_\xi(s_t), T_\sigma(E_\xi(s_t))) = r_t^{guide} - r_t^{base}$$



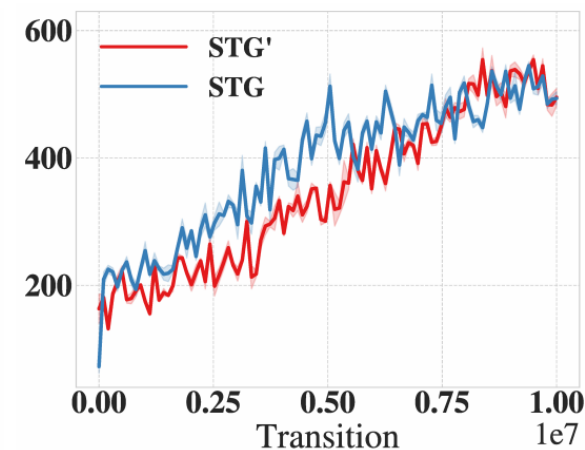
(a) Breakout



(b) Freeway



(c) Qbert



(d) Space Invaders

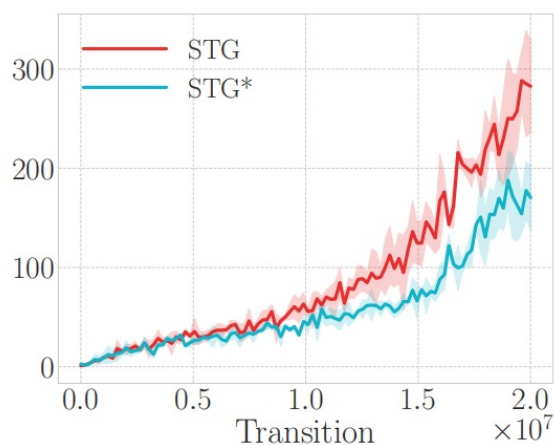
Figure 4: Atari experiments comparing using r^{guide} (STG') and r^i (STG) as intrinsic reward.

Ablation: Reward design

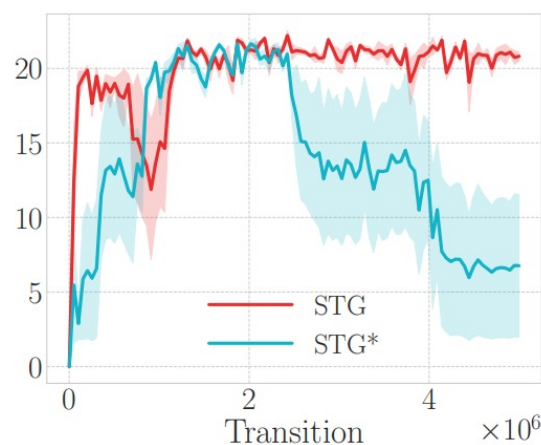


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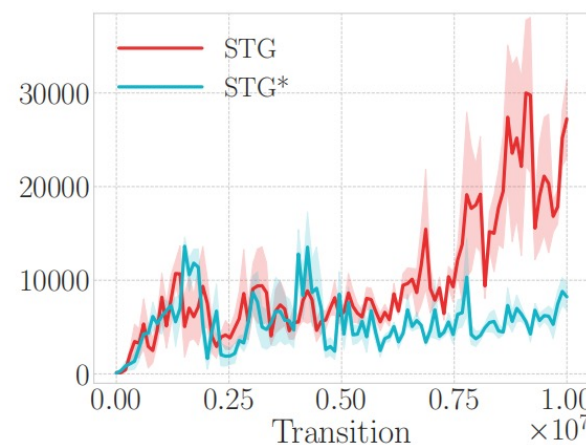
$$\begin{cases} \text{discrimination reward: } D_{\omega}(e_t, e_{t+1}) - D_{\omega}(e_t, \hat{e}_{t+1}) \\ \text{progression reward: } P_{\phi}(e_t, e_{t+k}), k=1 \end{cases}$$



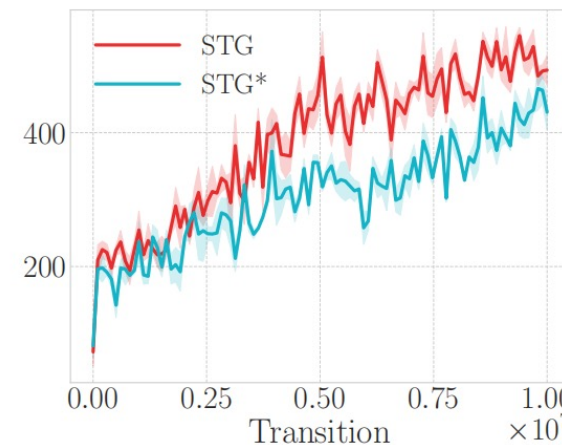
(a) Breakout



(b) Freeway



(c) Qbert



(d) Space Invaders

Figure 9: Atari experiments comparing using discriminative rewards (STG) and using both discriminative rewards and progression rewards (STG*).

Ablation : Loss and Dataset

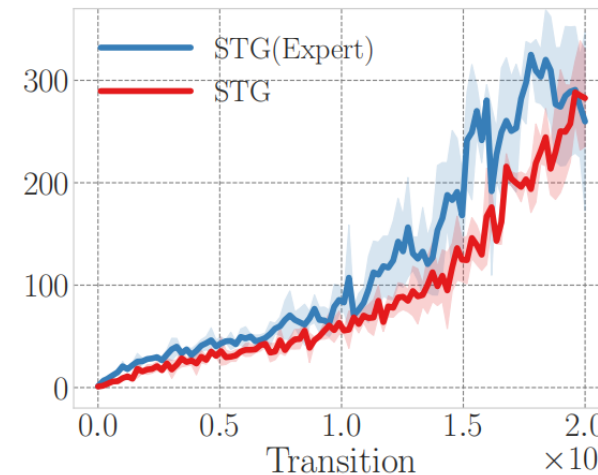
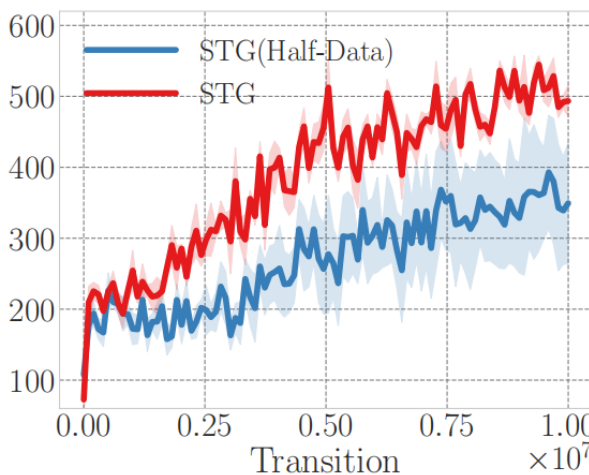
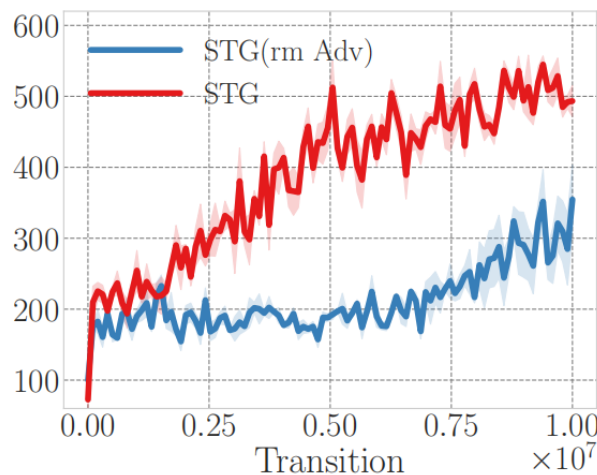
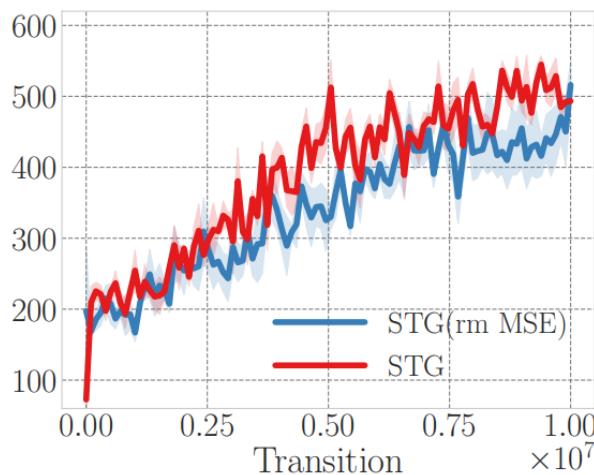


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$$\alpha \mathcal{L}_{mse} + \beta \mathcal{L}_{adv} + \kappa \mathcal{L}_{tdr}$$

25 trajectories

High-return trajectories



(a) Ablate removing \mathcal{L}_{mse}

(b) Ablate removing \mathcal{L}_{adv}

(c) Ablate dataset size

(d) Ablate dataset quality

Figure 8: Learning curves of four pre-training ablations: (a) removing \mathcal{L}_{mse} in SpaceInvaders; (b) removing \mathcal{L}_{adv} in SpaceInvaders; (c) using half dataset to train STG in SpaceInvaders; (d) using expert dataset to train STG in Breakout.

STG offers a pretraining solution in situations with **plentiful video demonstrations**, **limited environment interactions**, and **inaccessible labeled action or rewards**.

In future work, STG is likely to benefit from:

- More powerful **large-scale vision foundation** models to facilitate generalization across a broader range of related tasks, domains or embodiments.
- **Hierarchical framework** where one-step predicted rewards can be employed for training low-level policies and multi-step rewards for a high-level policy to tackle long-horizon tasks.



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Thanks

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